

UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF MICHIGAN  
SOUTHERN DIVISION

IN RE AUTOMOTIVE PARTS ANTITRUST LITIGATION	CASE NO. 12-MD-02311 HON. MARIANNE O. BATTANI
In Re: ALTERNATORS	2:13-cv-00701-MOB-MKM
THIS RELATES TO: ALL DIRECT PURCHASER ACTIONS	

**SETTLEMENT AGREEMENT**

This Settlement Agreement (“Agreement”) is made and entered into this \_\_\_ day of March, 2018 (“Execution Date”) by and between Mitsubishi Electric Corporation, Mitsubishi Electric US Holdings, Inc., and Mitsubishi Electric Automotive America, Inc. (collectively, “Mitsubishi Electric”) and Direct Purchaser Plaintiffs, both individually and on behalf of a class of direct purchasers of Alternators (the “Settlement Class”), as defined in Paragraph 10.

WHEREAS, Direct Purchaser Plaintiffs are prosecuting the *In Re Automotive Parts Antitrust Litigation*, 12-md-02311 (E.D. Mich.) (the “MDL Litigation”) and the direct purchaser class action *Alternators Cases* (2:13-cv-00701), 2:15-cv-11828-MOB-RSW and 2:15-cv-13634-MOB-MKM (collectively, the “Action”) on their own behalf and on behalf of the Settlement Class against, among others, Mitsubishi Electric.

WHEREAS, Direct Purchaser Plaintiffs allege they were injured as a result of Mitsubishi Electric’s participation in an unlawful conspiracy to raise, fix, maintain, and/or stabilize prices, rig bids, and allocate markets and customers for Alternators (as defined in Paragraph 1) in violation of Section 1 of the Sherman Act, as set forth in Direct Purchaser Plaintiffs’ Complaints (2:15-cv-

11828-MOB-RSW, Doc. No. 1 and 2:15-cv-13634-MOB-MKM, Doc. No. 1) (the “Complaints”);

WHEREAS, Mitsubishi Electric has represented that the vast majority of its sales of Alternators shipped to customers in the United States from January 1, 2000 through December 31, 2013 were to certain large customers (the “Opt-Out Customers”) who Mitsubishi Electric expects to opt out of the Settlement Class (defined below);

WHEREAS, Direct Purchaser Plaintiffs and Settlement Class Counsel have received reasonable assurances from the Opt-Out Customers that they will, in fact, opt out of the Settlement Class;

WHEREAS, Mitsubishi Electric denies Direct Purchaser Plaintiffs’ allegations and has asserted defenses to their claims;

WHEREAS, arm’s-length settlement negotiations over a long period of time have taken place between Settlement Class Counsel (as defined in Paragraph 11) and counsel for Mitsubishi Electric and this Agreement has been reached as a result of those negotiations;

WHEREAS, Direct Purchaser Plaintiffs, through their counsel, have conducted an investigation into the facts and the law regarding the Action and have concluded that resolving the claims against Mitsubishi Electric according to the terms set forth below is in the best interest of the Direct Purchaser Plaintiffs and the Settlement Class because of the payment of the Settlement Amount and the value of Cooperation (as those terms are defined below) that Mitsubishi Electric has agreed to provide pursuant to this Agreement;

WHEREAS, the Action will continue against Defendants (as defined in Paragraph 4) that are not Releasees (as defined in Paragraph 8);

WHEREAS, Mitsubishi Electric, despite its belief that it is not liable for the claims asserted and its belief that it has good defenses thereto, has nevertheless agreed to enter into this Agreement

to avoid further expense, inconvenience, and the distraction of burdensome and protracted litigation, and to obtain the releases, orders, and judgment contemplated by this Agreement, and to put to rest with finality all claims that have been or could have been asserted against Mitsubishi Electric with respect to Alternators based on the allegations in the Action;

WHEREAS, Mitsubishi Electric has agreed to provide Cooperation (as defined below in Paragraph 2) and Cooperation Materials (as defined below in Paragraph 3) to Direct Purchaser Plaintiffs in the ongoing prosecution of the Action as set forth in this Agreement, and such Cooperation will aid the Direct Purchaser Plaintiffs and reduce the substantial burden and expense associated with the ongoing prosecuting of this Action; and

WHEREAS, Direct Purchaser Plaintiffs recognize the benefits of Mitsubishi Electric's Cooperation and recognize that because of joint-and-several liability, this Agreement with Mitsubishi Electric does not impair Direct Purchaser Plaintiffs' ability to collect the full amount of damages to which it and the Settlement Class may be entitled in the Action:

NOW, THEREFORE, in consideration of the covenants, agreements, and releases set forth herein and for other good and valuable consideration, and intending to be legally bound, it is agreed by and between Mitsubishi Electric and the Direct Purchaser Plaintiffs, both individually and on behalf of the Settlement Class, that the Action be settled, compromised, and dismissed on the merits with prejudice as to the Releasees and, except as hereinafter provided, without costs as to Direct Purchaser Plaintiffs, the Settlement Class, or Mitsubishi Electric, subject to the approval of the Court, on the following terms and conditions:

A. Definitions.

1. "Alternator" for purposes of this Settlement Agreement, has the same definition as set forth in the Direct Purchaser Plaintiffs' Complaints, specifically, "an electromechanical device

that generates an electric current while an engine is in operation. The Alternator provides power to a vehicle's electrical system and charges its battery.”

2. “Cooperation” shall refer to those provisions set forth below in Section F.

3. “Cooperation Materials” means any information, testimony, Document (as defined below in Paragraph 6) or other material (including information from Attorney Proffers) provided by Mitsubishi Electric under the terms of this Agreement.

4. “Defendant” means, for purposes of this Settlement Agreement only, any of the following: Denso Corporation; Denso International America, Inc.; Denso Products and Services Americas, Inc. f/k/a Denso Sales California, Inc.; Denso International Korea Corporation; Hitachi, Ltd.; Hitachi Automotive Systems, Ltd.; Hitachi Automotive Systems Americas, Inc.; Mitsubishi Electric Corporation; Mitsubishi Electric US Holdings, Inc.; Mitsubishi Electric Automotive America, Inc.; Robert Bosch GmbH; Robert Bosch LLC; Mitsuba Corporation; American Mitsuba Corporation.

5. “Direct Purchaser Plaintiffs” means the Plaintiffs named in the Complaints.

6. “Document” is defined to be synonymous in meaning and equal in scope to the usage of this term in Rule 34(a) of the Federal Rules of Civil Procedure, including without limitation, electronically stored information. A draft or non-identical copy is a separate document within the meaning of this term. For purposes of this Agreement, Document shall include all non-privileged English translations in Mitsubishi Electric's custody, possession or control.

7. “Opt-Out Plaintiffs” means those members of the Settlement Class who validly and timely request exclusion from the Settlement Class.

8. “Releasees” shall refer to Mitsubishi Electric Corporation, Mitsubishi Electric US Holdings, Inc., and Mitsubishi Electric Automotive America, Inc., their parents, subsidiaries,

affiliates, divisions, predecessors, successors, and insurers, and their respective past and present officers, directors and employees. Releasees does not include any Defendant in the Action other than Mitsubishi Electric Corporation, Mitsubishi Electric US Holdings, Inc., and Mitsubishi Electric Automotive America, Inc.

9. “Releasers” shall refer to Direct Purchaser Plaintiffs and the Settlement Class Members, as well as each of their parents, subsidiaries, affiliates, divisions, predecessors, successors, and insurers, and their respective past and present officers, directors and employees.

10. For purposes of this Agreement, “Settlement Class” is defined as:

All individuals and entities who purchased Alternators in the United States directly from one or more Defendants (or their subsidiaries, affiliates, or joint-ventures) from January 1, 2000 through the Execution Date of this Agreement. Excluded from the Settlement Class are Defendants, their present and former parent companies, subsidiaries, and affiliates, federal governmental entities and instrumentalities of the federal government, and states and their subdivisions, agencies and instrumentalities.

11. “Settlement Class Counsel” means the following law firms: Freed Kanner London & Millen LLC, 2201 Waukegan Road, Suite 130, Bannockburn, IL 60015; Kohn, Swift & Graf, P.C., One South Broad Street, Suite 2100, Philadelphia, PA 19107; Preti, Flaherty, Beliveau & Pachios, LLP, One City Center, Portland, ME 04101; and Spector Roseman & Kodroff, P.C., 1818 Market Street, Suite 2500, Philadelphia, PA 19103.

12. “Settlement Class Member” means each member of the Settlement Class who has not timely and validly elected to be excluded from the Settlement Class.

13. “Settlement Amount” means US \$7,295,825.00.

14. “Settlement Fund” means the Settlement Amount plus accrued interest as set forth in Paragraph 24.

B. Approval of this Agreement and Dismissal of Claims Against Mitsubishi Electric.

15. On the Execution Date, Direct Purchaser Plaintiffs and the Mitsubishi Electric Defendants shall be bound by this Agreement, and this Agreement shall not be rescinded except in accordance with Paragraphs 40-42 of this Agreement.

16. Direct Purchaser Plaintiffs and Mitsubishi Electric shall use their best efforts to effectuate this Agreement, including cooperating in seeking the Court's approval for the establishment of procedures (including the giving of class notice under Federal Rules of Civil Procedure 23(c) and (e)) to secure the complete and final dismissal with prejudice of the Action as to the Releasees only.

17. Direct Purchaser Plaintiffs shall submit to the Court, in a form mutually agreed upon by Mitsubishi Electric and Settlement Class Counsel, a motion or motions seeking preliminary approval of this Agreement, authorization to disseminate notice of the proposed settlement to the Settlement Class, and for a stay of all proceedings in the Action against Mitsubishi Electric by Direct Purchaser Plaintiffs (other than the Cooperation) until the Court renders a final decision regarding the approval of the settlement, and, if it approves the settlement, enters the final judgment (the "Motions"). The Motions shall include the proposed form of an order preliminarily approving this Agreement, and proposed forms of notice. No less than five (5) business days before filing, Direct Purchaser Plaintiffs shall submit a draft of the Motions to Mitsubishi Electric for approval, which approval shall not be unreasonably withheld.

18. Direct Purchaser Plaintiffs, at a time to be decided in their sole discretion, shall submit to the Court a motion for authorization to disseminate notice of the settlement and final judgment contemplated by this Agreement to the Settlement Class (the "Notice Motion"). The

Notice Motion shall include a proposed form of, method for, and proposed dates of dissemination of notice. Before submission, Mitsubishi Electric shall have a reasonable opportunity to review and comment on the Notice Motion, and Direct Purchaser Plaintiffs shall reasonably consider Mitsubishi Electric's comments. To mitigate the costs of notice and administration, Direct Purchaser Plaintiffs shall use their best efforts, if practicable, to disseminate notice with any other settlements reached with other defendants in the Action and to apportion costs of notice and administration on a pro rata basis across the applicable settlements. Further, the proposed notice shall state clearly that a member of any settlement class identified in the notice may opt out of one or more settlement classes identified in the notice while remaining a member of other settlement classes identified in the notice.

19. Direct Purchaser Plaintiffs shall seek, and Mitsubishi Electric will not object unreasonably to, the entry of a final judgment order, the text of which Direct Purchaser Plaintiffs and Mitsubishi Electric shall agree on, and such agreement will not be unreasonably withheld. The terms of that proposed final judgment order will include, at a minimum, the substance of the following provisions:

(a) certifying the Settlement Class pursuant to Rule 23 of the Federal Rules of Civil Procedure, solely for purposes of this Settlement, as a settlement class;

(b) finding that the Direct Purchaser Plaintiffs are adequate class representatives under Rule 23;

(c) approving finally this Settlement and its terms as being fair, reasonable and adequate as to the Settlement Class Members within the meaning of Rule 23 of the Federal Rules of Civil Procedure and directing its consummation according to the terms of the Agreement;

(d) finding that notice provided to the Settlement Class satisfied the requirements of

Rule 23 and the due process clause;

(e) as to Mitsubishi Electric, directing that the Action be dismissed with prejudice and, except as provided for in this Agreement, without costs;

(f) reserving exclusive jurisdiction over the Settlement and this Agreement, including the administration and consummation of the Settlement, as well as over Mitsubishi Electric, for the duration of its provision of Cooperation pursuant to this Agreement, to the United States District Court for the Eastern District of Michigan;

(g) determining under Federal Rule of Civil Procedure 54(b) that there is no just reason for delay and directing that the judgment of dismissal as to Mitsubishi Electric shall be final;

(h) providing that (i) the Court's certification of the Settlement Class is without prejudice to, or waiver of, the rights of any Defendant, including Mitsubishi Electric, to contest certification of any other class proposed in the MDL Litigation, (ii) the Court's findings in the final judgment order shall have no effect on the Court's ruling on any motion to certify any class in the MDL Litigation or on the Court's rulings concerning any Defendant's motion; and (iii) no party may cite or refer to the Court's approval of the Settlement Class as persuasive or binding authority with respect to any motion to certify any such class or any Defendant's motion; and

(i) precluding all Settlement Class Members from asserting or prosecuting any claim or action against any Releasee released by this Agreement.

20. This Agreement shall become final when (i) the Court has entered a final order certifying the Settlement Class and approving this Agreement under Federal Rule of Civil Procedure 23(e) and a final judgment order has been entered dismissing the Action with prejudice as to Mitsubishi Electric and without costs other than those provided for in this Agreement, and (ii) the time for appeal or to seek permission to appeal from the Court's approval of this Agreement



and entry of a final judgment as to Mitsubishi Electric described in (i) hereof has expired or, if appealed, approval of this Agreement and the final judgment as to Mitsubishi Electric have been affirmed in their entirety by the Court of last resort to which such appeal has been taken and such affirmance has become no longer subject to further appeal or review. It is agreed that the provisions of Rule 60 of the Federal Rules of Civil Procedure shall not be taken into account in determining the above-stated times.

21. Neither this Agreement (whether or not it becomes final), the final judgment, nor any negotiations, documents and discussions associated with them shall be deemed or construed to be an admission by Mitsubishi Electric, or evidence of any violation of any statute or law or of any liability or wrongdoing whatsoever by Mitsubishi Electric, or used against Mitsubishi Electric as evidence of the truth of any of the claims or allegations contained in any complaint or any other pleading filed in the MDL Litigation, and evidence thereof shall not be discoverable or used in any way, whether in the MDL Litigation, arbitration, or other proceeding, against Mitsubishi Electric. Neither this Agreement, any of its terms and provisions, any of the negotiations or proceedings connected with it, nor any other action taken to carry out this Agreement by Mitsubishi Electric, shall be referred to, offered as evidence or received in evidence in any pending or future civil, criminal, or administrative action, arbitration, or proceedings, except in a proceeding to enforce this Agreement, or to defend against the assertion of Released Claims, or as otherwise required by law. Nothing in this Paragraph shall prevent Direct Purchaser Plaintiffs from using and/or introducing into evidence Cooperation Materials produced pursuant to Section F, against any other Defendants in the MDL Litigation, 12-md-02311.

C. Release, Discharge, and Covenant Not to Sue.

22. In addition to the effect of any final judgment order entered in accordance with this

Agreement, upon this Agreement becoming final, as set out in Paragraph 20, and in consideration of payment of the Settlement Amount, as specified in Paragraph 24, and for other valuable consideration, the Releasees shall be completely released, acquitted, and forever discharged from any and all claims, demands, actions, suits, causes of action, whether class, individual, or otherwise in nature (whether or not any Settlement Class Member has objected to the settlement or makes a claim upon or participates in distribution of the Settlement Fund, whether directly, representatively, derivatively or in any other capacity) under any federal, state or local law of any jurisdiction in the United States, that Releasors, or each of them, ever had, now have, or hereafter can, shall, or may ever have, that now exist or may exist in the future, on account of, or in any way arising out of, any and all known and unknown, foreseen and unforeseen, suspected or unsuspected, actual or contingent, liquidated or unliquidated claims, injuries, damages, and the consequences thereof in any way arising out of or relating in any way to any conduct prior to the Execution Date alleged in the Complaints or any act or omission of the Releasees (or any of them) alleged in the Complaints concerning price fixing, bid rigging, or market or customer allocation of Alternators including, but not limited to, any conduct and causes of action alleged or asserted or that could have been alleged or asserted, in any class action or other complaints filed in the Action (the "Released Claims"). Notwithstanding the foregoing, however, nothing herein shall release: (1) any claims based on indirect purchases of Alternators; (2) claims based on negligence, personal injury, breach of contract, bailment, failure to deliver lost goods, damaged or delayed goods, product defect, breach of product warranty, securities or similar claim relating to Alternators; (3) claims brought outside the United States relating to purchases of Alternators outside the United States; (4) claims brought under laws other than those of the United States relating to purchases of Alternators outside the United States; and (5) claims concerning any product other than

Alternators. After the Execution Date, Releasors shall not seek to establish liability against any Releasee as to, in whole or in part, any of the Released Claims unless the Agreement, for any reason, does not become final, or is rescinded or otherwise fails to become effective.

23. In addition to the provisions of Paragraph 22 of this Agreement, Releasors hereby expressly waive and release, solely with respect to the Released Claims, upon this Agreement becoming final, any and all provisions, rights, and benefits, conferred by § 1542 of the California Civil Code, which states:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM OR HER MUST HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR;

or by any law of any state or territory of the United States, or principle of common law, which is similar, comparable, or equivalent to §1542 of the California Civil Code. Each Releasor may hereafter discover facts other than or different from those which he, she, or it knows or believes to be true with respect to the claims that are released pursuant to the provisions of Paragraph 22 of this Agreement, but each Releasor hereby expressly waives and fully, finally, and forever settles and releases, upon this Agreement becoming final, any known or unknown, suspected or unsuspected, contingent or non-contingent claim that Direct Purchaser Plaintiffs have agreed to release pursuant to Paragraph 22, whether or not concealed or hidden, without regard to the subsequent discovery or existence of such different or additional facts.

D. Settlement Amount.

24. Subject to the provisions hereof, and in full, complete and final settlement of the Action as provided herein, Mitsubishi Electric shall pay the Settlement Amount of \$7,295,825.00. The Settlement Amount shall be paid by wire transfer into an escrow account in United States

Dollars to be administered in accordance with the provisions of Paragraph 25 (the “Escrow Account”) within thirty (30) days of the later of (a) the date the court grants Preliminary Approval or (b) the date that Mitsubishi Electric is provided with the account number, account name, and wiring information for the Escrow Account(s).

25. Escrow Account

(a) An Escrow Account shall be maintained at The Huntington National Bank. Such escrow shall be administered under the Court’s continuing supervision and control.

(b) All payments into the Escrow Account, including any income earned thereon, shall, at the direction of Settlement Class Counsel, be invested in instruments or accounts backed by the full faith and credit of the United States Government or fully insured by the United States Government or an agency thereof, including U.S. Treasury Bills, U.S. Treasury Money Market Funds or a bank account insured by the Federal Deposit Insurance Corporation (“FDIC”) up to the guaranteed FDIC limit. Any interest earned on any of the foregoing shall become part of the Settlement Fund. Mitsubishi Electric shall have no responsibility for, or liability in connection with, the Settlement Fund or the Escrow Account, including, without limitation, the investment, administration, maintenance, or distribution thereof.

(c) All funds held in the Escrow Account shall be deemed and considered to be in *custodia legis* of the Court, and shall remain subject to the jurisdiction of the Court, until such time as the Settlement Fund shall be distributed pursuant to this Agreement or further order(s) of the Court.

(d) Subject to the provisions of Paragraph 27, reasonable disbursements for expenses associated with providing notice of the settlement to the Settlement Class, expenses for maintaining and administering the Settlement Fund, and taxes and expenses incurred in connection

with taxation matters may be paid without approval from the Court and shall not be refundable to Mitsubishi Electric in the event the Agreement is disapproved, rescinded, or otherwise fails to become effective, to the extent such expenses have actually been expended or incurred. Any refund that becomes owed to Mitsubishi Electric pursuant to Paragraphs 40 and 43 may be paid out of the Escrow Account without approval of the Court. No other disbursement from or distribution of the Settlement Fund shall be made without prior approval of the Court.

(e) The Settlement Fund is intended by the parties hereto to be treated as a “qualified settlement fund” within the meaning of Treasury Regulation § 1.468B-1, and to that end the parties hereto shall cooperate with each other and shall not take a position in any filing or before any tax authority that is inconsistent with such treatment. Settlement Class Counsel shall be the administrator of the Settlement Fund as such term is defined in Treasury Regulation § 1.468B-2 and shall discharge all of the duties required of an administrator pursuant to the Treasury Regulations. At the request of Mitsubishi Electric, a “relation back election” as described in Treasury Regulation § 1.468B-1(j) shall be made so as to enable the Settlement Fund to be treated as a qualified settlement fund from the earliest date possible, and the parties shall take all actions as may be necessary or appropriate to this end. At the direction of Settlement Class Counsel, taxes or estimated taxes shall be paid on any income earned on the funds in the Escrow Account, whether or not Final Approval has occurred, as provided in Paragraph 20, above. All (i) taxes (including any estimated taxes, interest or penalties) arising with respect to the income earned by the Settlement Fund, including any taxes or tax detriments that may be imposed upon Mitsubishi Electric or any Releasee with respect to any income earned by the Settlement Fund for any period during which the Settlement Fund does not qualify as a Qualified Settlement Fund for federal or state income tax purposes shall be paid out of the Settlement Fund. Except as set forth in this

Paragraph, Mitsubishi Electric shall have no responsibility to make any tax filings related to the Settlement Fund or to pay any taxes with respect thereto, and neither Mitsubishi Electric nor any Releasee nor their respective counsel shall have any liability or responsibility for the taxes or expenses incurred in connection with taxation matters. The Escrow Agent and Settlement Class Counsel shall indemnify and hold Mitsubishi Electric and the Releasees harmless for taxes arising with respect to the income earned by the Settlement Fund (including taxes payable by reason of such indemnification) and fees or expenses incurred in connection with such taxes.

(f) If this Agreement does not receive final Court approval, including final approval of the Settlement Class as defined in Paragraph 10, or if the Action is not certified as a class action for settlement purposes, then all amounts paid by Mitsubishi Electric into the Settlement Fund (other than costs expended or incurred in accordance with Paragraphs 25(d) and 27, shall be returned to Mitsubishi Electric from the Escrow Account along with any interest accrued thereon within thirty (30) calendar days of the Court's denial of final approval of the Agreement and/or Settlement Class.

26. Exclusions.

Within ten (10) days after the end of the period to request exclusion from the Settlement Class, Settlement Class Counsel will cause copies of requests for exclusion from the Settlement Class to be provided to counsel for Mitsubishi Electric. With respect to any potential Settlement Class Member that requests exclusion from the Settlement Class, Mitsubishi Electric reserves all of its legal rights and defenses.

27. Payment of Settlement Expenses

Mitsubishi Electric agrees to permit use of a maximum of \$125,000.00 (which limitation is effective up until the date of Final Approval of this Settlement) of the Settlement Fund towards

the costs of notice to the Settlement Class and the costs of administration of the Settlement Fund as set forth in Paragraph 25(d). The notice and administration expenses are not recoverable by Mitsubishi Electric if this settlement does not become final or is rescinded or otherwise fails to become effective to the extent such funds have been (a) actually expended or (b) incurred for notice and administration costs. Other than as set forth in this Paragraph 27, and in Paragraphs 25 and 43, Mitsubishi Electric shall not be liable for any of the costs or expenses of the litigation of the Action. Within ten (10) business days after the Execution Date, or within a reasonable time thereafter, Mitsubishi Electric will supply to Settlement Class Counsel, in an electronic mailing format, the names and addresses of putative Settlement Class Members to whom it or its subsidiaries or affiliates have sold Alternators during the Settlement Class Period to the extent they are identifiable through reasonable effort, and to the extent not previously provided to Settlement Class Counsel.

E. The Settlement Fund.

28. Releasors shall look solely to the Settlement Fund for settlement and satisfaction, as provided herein, of all Released Claims against the Releasees, and shall have no other recovery against Mitsubishi Electric or any other Releasee.

29. After this Agreement becomes final within the meaning of Paragraph 20, the Settlement Fund shall be distributed in accordance with a plan to be submitted to the Court at the appropriate time by Settlement Class Counsel, subject to approval by the Court. No Releasee shall have any responsibility, financial obligation, or liability whatsoever with respect to the investment, distribution, or administration of the Settlement Fund, including, but not limited to, the costs and expenses of such investment, distribution or administration except as expressly otherwise provided in Paragraphs 25(d) and 27.

30. Direct Purchaser Plaintiffs and Settlement Class Counsel shall be reimbursed and indemnified solely out of the Settlement Fund for all expenses and costs, as provided by Court order and the provisions of Paragraphs 25(d) and 27. Mitsubishi Electric and the other Releasees shall not be liable for any costs, fees, or expenses of any of Direct Purchaser Plaintiffs or the Settlement Class's respective attorneys, experts, advisors, agents, or representatives, but all such costs, fees, and expenses as approved by the Court, or authorized by Paragraphs 25(d) and 27, shall be paid out of the Settlement Fund.

31. Settlement Class Counsel's Attorneys' Fees, Reimbursement of Expenses, and Incentive Awards for Class Representatives.

(a) Subject to Court approval, Direct Purchaser Plaintiffs and Settlement Class Counsel shall be reimbursed and paid solely out of the Settlement Fund for all past, current, or future litigation costs and expenses and any award of attorneys' fees. Incentive awards to the Direct Purchaser Plaintiffs, if approved by the Court, will also be paid solely out of the Settlement Fund. Attorneys' fees and costs and expenses awarded by the Court shall be payable from the Settlement Fund upon award, notwithstanding the existence of any timely-filed objections thereto, or potential appeal therefrom, or collateral attack on the settlement or any part thereof, subject to Settlement Class Counsel's obligation to make appropriate refunds or repayments to the Settlement Fund, if and when, as a result of any appeal or further proceedings on remand, or successful collateral attack, the fee or award of costs and expenses is reduced or reversed, or in the event the settlement does not become final or is rescinded or otherwise fails to become effective.

(b) The procedure for and the allowance or disallowance by the Court of the application by Settlement Class Counsel for attorneys' fees, costs and expenses, and incentive awards for class representatives to be paid out of the Settlement Fund are not part of this Agreement, and are to be considered by the Court separately from the Court's consideration of the fairness, reasonableness



and adequacy of the settlement, and any order or proceeding relating to a request for attorneys' fees and reimbursement of costs and expenses, or incentive awards, or any appeal from any such order shall not operate to terminate or cancel this Agreement, or affect or delay the finality of the order approving the settlement.

(c) Neither Mitsubishi Electric nor any other Releasee under this Agreement shall have any responsibility for, or interest in, or liability whatsoever with respect to any payment to Settlement Class Counsel of any fee or expense award in the Action.

F. Cooperation.

32. In return for the release and discharge provided herein, Mitsubishi Electric agrees to pay the Settlement Amount and agrees to use its best efforts to provide satisfactory and timely Cooperation, at its expense, as set forth specifically in Section F, until final judgment is entered on all Alternators claims made by Direct Purchaser Plaintiffs against each and every one of the Defendants in the Action or dismissal with prejudice of all Alternators claims made by Direct Purchaser Plaintiffs against each and every one of the Defendants in the Action ("Termination Orders"), whichever is earlier.<sup>1</sup> Cooperation will take place consistent with the timing set forth specifically in Section F.

33. To the extent not already produced, Mitsubishi Electric agrees to use its best efforts to produce the following materials to Direct Purchaser Plaintiffs on the timetable indicated below:

(a) Transactional Data. Within thirty (30) days of preliminary approval, Mitsubishi Electric will produce reasonably available pre-existing sales and cost transactional data concerning

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<sup>1</sup> Mitsubishi Electric's Cooperation obligations shall continue until the time for appeal or to seek permission to appeal from the Court's Termination Orders has expired or, if appealed, approvals of the Termination Orders have been affirmed in their entirety by the Court of last resort to which such appeal has been taken and such affirmance has become no longer subject to further appeal or review.

its sales of Alternators to customers in the United States. The time period for this production will be from January 1, 2000 through December 31, 2013. Mitsubishi Electric shall consider any reasonable request by Direct Purchaser Plaintiffs to produce transactional data from January 1, 1998 through December 31, 1999. Mitsubishi Electric shall provide reasonable assistance to Direct Purchaser Plaintiffs in understanding the transactional sales and cost data produced, including, if appropriate, a reasonable number of communications with Direct Purchaser Plaintiffs' experts or technical personnel. Settlement Class Counsel agree that they shall maintain all data that Mitsubishi Electric produces as "Highly Confidential," as said designation is described in the Protective Order (defined as Doc. No. 200 in Case No. 12-md-2311, or any other comparable order issued in the Action), subject to any successful challenge that any party may make to the Protective Order or any other orders of the Court.

(b) Documents. Within thirty (30) days of preliminary approval, Mitsubishi Electric will produce the following categories of non-privileged and potentially relevant documents: (1) documents provided to the United States Department of Justice ("DOJ") in connection with its investigation of price-fixing, bid-rigging, market allocation, or customer allocation of Alternators; (2) or any other documents regarding Alternators that Jenner & Block possesses and has determined, as of the Execution Date of this Agreement, to relate to the sale of Alternators to customers in the United States pursuant to a request for quotation ("RFQ"). As to Documents in Mitsubishi Electric's possession, custody, or control that are not listed above, Mitsubishi Electric will consider in good faith any narrow, reasonable request by Settlement Class Counsel to collect and produce documents about discrete topics, provided the request would not impose an undue burden on Mitsubishi Electric. Mitsubishi Electric shall provide English translations of any documents produced in accordance with the provisions of this Paragraph 33 to the extent Jenner

& Block possesses them as of the Execution Date.

(c) Mitsubishi Electric shall not be required to disclose to Settlement Class Counsel the specific government entities to which documents were provided or to which documents were produced. Mitsubishi Electric will not be required to make available to Settlement Class Counsel any documents protected by the attorney-client privilege, the attorney work product doctrine, any applicable privilege under foreign or domestic law, or whose disclosure is prohibited by any court order, foreign or domestic law, or by a government entity. Notwithstanding the foregoing sentence, no Document shall be withheld under a claim of privilege if produced to any government entity, unless clawed back from that government entity pursuant to Rule 502 or otherwise.

34. If Mitsubishi Electric produces Documents or provides declarations or written responses to discovery to any party in the Action or in any other Alternators action within 2:13-cv-00700 (a “Relevant Production”), Mitsubishi Electric shall produce all such Documents, declarations, or written discovery responses to Settlement Class Counsel contemporaneously with making the Relevant Production to the extent such Documents, declarations or written discovery responses have not previously been produced by Mitsubishi Electric to Settlement Class Counsel. Settlement Class Counsel may attend and participate in any depositions of Mitsubishi Electric in this Action noticed by any other party.

35. To the extent reasonably practicable, any attorney proffers, or witness interviews, or depositions provided pursuant to the below obligations shall be coordinated with, and occur at the same time as, the attorney proffers, witness interviews, and depositions to be provided in settlements of other claims entered into by Mitsubishi Electric in the MDL Litigation.

(a) If requested by Settlement Class Counsel following the entry of any order preliminarily approving this Agreement, Mitsubishi Electric’s counsel will make themselves

available in the United States within thirty (30) business days to provide an attorney's proffer to Settlement Class Counsel of facts known to them regarding the claims asserted by Direct Purchaser Plaintiffs in the Alternators case. If requested, the proffer shall include the identity, if any, of all current and former employees, directors and officers of Mitsubishi Electric who: (1) were interviewed by any government entities in connection with alleged price-fixing, bid rigging, customer allocation, market allocation, and/or other unlawful anticompetitive activity with respect to Alternators; (2) appeared before the grand jury in the DOJ's investigation into alleged antitrust violations with respect to Alternators; or (3) were disclosed to the DOJ as having knowledge or information relating to the DOJ's investigation into alleged antitrust violations with respect to Alternators. Counsel for Mitsubishi Electric shall not be required to disclose to Settlement Class Counsel the identities of any government entities to which each such current or former employee, director or officer of Mitsubishi Electric was identified or before which they appeared. Thereafter, Mitsubishi Electric's counsel will make themselves available for reasonable follow-up conversations. It is understood that neither Mitsubishi Electric nor their counsel have any obligation to seek new or additional information or documents from any Mitsubishi Electric employees, officers or directors; however, Mitsubishi Electric will in good faith consider requests for new or additional information or documents, and will produce such information or documents, if appropriate, in its discretion.

(b) Notwithstanding any other provision in this Agreement, Settlement Class Counsel shall maintain all statements made by Mitsubishi Electric's counsel as "Highly Confidential," as said designation is described in the Protective Order, and shall not use the information so received for any purpose other than the prosecution of the claims in the MDL Litigation, 12-md-02311, and will not attribute any information obtained from an attorney's proffer pursuant to this Agreement

to Mitsubishi Electric or its counsel. The parties and their counsel further agree that any statements made by Mitsubishi Electric's counsel in connection with or as part of this Settlement, including the proffer(s) referred to in Paragraph 35(a), shall be governed by Federal Rule of Evidence 408. Notwithstanding anything herein, Settlement Class Counsel may use information contained in such statements in the prosecution of the claims in the MDL Litigation, 12-md-02311, and rely on such information to certify that, to the best of Settlement Class Counsel's knowledge, information and belief, such information has evidentiary support or will likely have evidentiary support after a reasonable opportunity for further investigation or discovery.

(c) Class Counsel will not seek interviews or depositions of Mitsubishi Electric's directors, officers or employees at this time. If, however, Settlement Class Counsel reasonably believe that testimony from Mitsubishi Electric is necessary for use in a scheduled trial of this Action, then upon reasonable notice after preliminary approval of this Agreement, Mitsubishi Electric shall use its best efforts to make available for interviews and depositions, upon at least 60 days' notice, unless extenuating circumstances exist, via video or teleconference or at a mutually agreed-upon location or locations, up to four (4) persons for interviews and depositions (as set forth in Paragraphs 35(c) and (d)), who Settlement Class Counsel select who may be current directors, officers, or employees of Mitsubishi Electric whom Settlement Class Counsel reasonably and in good faith believe possess knowledge of facts or information that would reasonably assist Direct Purchaser Plaintiffs in the prosecution of the MDL Litigation. It is understood that Mitsubishi Electric may be unable to make available for interviews, depositions, or trial testimony or any other court proceedings the five (5) individuals referenced in Attachment A (filed under seal) of the plea agreement between Mitsubishi Electric Corporation and the United States of America (Case No. 2:13-cr-20710-PJK, E.D. Mich., ECF No. 9) or any individual who is no longer

an officer, director, or employee of Mitsubishi Electric.

(d) Interviews shall each be limited to a total of seven (7) hours over one day and should occur via video or teleconference. Should Class Counsel elect to conduct the interview(s) in person and to the extent that a person to be interviewed is not reasonably available in the United States for an interview, the interview will be conducted at a mutually agreed upon location elsewhere.

(e) Consistent with Paragraph 35(c), upon reasonable notice, Mitsubishi Electric shall, at Settlement Class Counsel's request, use its best efforts to make available to appear for deposition (i) up to four (4) persons who Settlement Class Counsel select from among the persons who have been chosen for interviews pursuant to Paragraph 35(c), and/or to provide (ii) up to two (2) declarations/affidavits from among the same persons who have been chosen for interviews and depositions pursuant to Paragraph 35(c) and Paragraph 35(d). If Mitsubishi Electric is unable to make those same persons available for deposition or to provide a declaration, then Settlement Class Counsel may select a substitute deponent or declarant. To the extent that a person to be deposed is not reasonably available in the United States for a deposition, the deposition will be conducted at a mutually agreed upon location elsewhere. Each deposition shall be limited to a total of seven (7) hours over one day, unless otherwise agreed. Written notice by Settlement Class Counsel to Mitsubishi Electric's counsel, which shall be provided at least 60 days prior to the requested deposition date unless extenuating circumstances exist, shall constitute sufficient service of notice for such depositions. If Settlement Class Counsel request declarations/affidavits, such affidavits and declarations will be provided in English or in Japanese with an acceptable English translation.

(f) In addition to its Cooperation obligations set forth herein, Mitsubishi Electric agrees to produce through affidavit(s), declaration(s) and/or deposition testimony, in Settlement Class Counsel's discretion, representatives qualified to authenticate, establish as business records,

or otherwise establish any other necessary foundation for admission into evidence of any of Mitsubishi Electric's Documents and Transactional Data produced or to be produced, and to the extent possible, any Documents produced by other Defendants or third-parties in this Action. Settlement Class Counsel agree to use their best efforts to obtain stipulations that would avoid the need to depose Mitsubishi Electric witnesses for the purpose of obtaining such evidentiary foundations.

(g) Direct Purchaser Plaintiffs and Settlement Class Counsel agree they will not use the information provided by Mitsubishi Electric or the other Releasees or their representatives under this Agreement for any purpose other than the prosecution of claims in the MDL Litigation, and will use it in the MDL Litigation consistent with the Protective Order, and will not use it beyond what is reasonably necessary for the prosecution of claims in the MDL Litigation or as otherwise required by law. All Documents and other Cooperation Materials provided pursuant to this Agreement shall be governed by the terms of the Protective Order.

36. Mitsubishi Electric's obligations to provide Cooperation shall not be affected by the releases set forth in this Agreement. Mitsubishi Electric's obligations to provide Cooperation under this Agreement shall continue until either (a) this Agreement is rescinded or terminated in accordance with the provisions of Paragraph 40-44 or (b) a final judgment has been entered in the Action against all Defendants.

37. In the event that this Agreement fails to receive final approval by the Court as contemplated in Paragraph 20, including final approval of the Settlement Class as defined in Paragraph 10, or in the event that it is terminated by either party under any provision herein, the parties agree that neither Direct Purchaser Plaintiffs nor Settlement Class Counsel shall be permitted to introduce into evidence against Mitsubishi Electric, at any hearing or trial, or in

support of any motion, opposition or other pleading in the Action or in any other federal or state or foreign action alleging a violation of any law relating to the subject matter of the Action, any information provided by Mitsubishi Electric's counsel as part of their attorney's proffer, any deposition testimony, any Documents, or any other Cooperation Materials provided by Mitsubishi Electric and/or the other Releasees, their counsel, or any individual only made available by Mitsubishi Electric pursuant to Cooperation (as opposed to information obtained in discovery in the Action or from other sources or pursuant to a court order other than a court order enforcing the Cooperation obligations). This limitation shall not apply to any discovery of Mitsubishi Electric in which Settlement Class Counsel participates as part of the MDL Litigation. Notwithstanding anything contained herein, Direct Purchaser Plaintiffs and the Settlement Class are not relinquishing any rights to pursue discovery against Mitsubishi Electric in the event that this Agreement fails to receive final approval by the Court as contemplated in Paragraph 20 hereof, including final approval of the Settlement Class as defined in Paragraph 10, or in the event that it is terminated by either party under any provision herein.

38. Mitsubishi Electric need not respond to discovery requests made pursuant to the Federal Rules of Civil Procedure from Direct Purchaser Plaintiffs or otherwise participate in the Action during the pendency of the Agreement, including responding to any document production and other discovery deadlines ordered in the Action. This suspension of discovery and any pending motions shall be without prejudice to reviving such discovery or motions if this Agreement fails to receive final approval by the Court or in the event that it is terminated by either party under any provision herein. Other than to enforce the terms of this Agreement, neither Mitsubishi Electric nor Direct Purchaser Plaintiffs shall file motions against the other in the Action during the pendency of the Agreement.



39. If Settlement Class Counsel believes that Mitsubishi Electric has refused to use best efforts to cooperate under the terms of this Agreement, Settlement Class Counsel shall meet and confer with Mitsubishi Electric. Upon reaching an impasse in any meet and confer, Settlement Class Counsel may seek an Order from the Court compelling Mitsubishi Electric to use best efforts. Nothing in this provision shall limit in any way Mitsubishi Electric's ability to defend the level of cooperation provided or to defend compliance with the terms of the Cooperation provisions in this Agreement.

G. Rescission/Modification

40. The Agreement is subject to rescission based on timely requests for exclusion by certain Opt-Out Plaintiffs in accordance with the terms set forth in a confidential letter ("Confidential Letter") between Mitsubishi Electric and the Settlement Class Members. The Confidential Letter may be provided to the Court for in camera review upon its request.

41. The parties shall determine if this Agreement is subject to rescission in accordance with the process described in the Confidential Letter.

42. If the Court refuses to approve this Agreement or any part hereof, including if the Court does not certify a settlement class in accordance with the specific Settlement Class definition set forth in this Agreement, or if such approval is modified or set aside on appeal, or if the Court does not enter the final judgment provided for in Paragraph 20 of this Agreement, or if the Court enters the final judgment and appellate review is sought, and on such review, such final judgment is not affirmed in its entirety, then Mitsubishi Electric and Direct Purchaser Plaintiffs shall each, in their sole discretion, have the option to rescind this Agreement in its entirety. The provisions of Paragraphs 25(d) and 27 of this Agreement shall remain in effect in the event this Agreement is rescinded. Written notice of the exercise of any such right to rescind shall be made according to

the terms of Paragraph 53. A modification or reversal on appeal of any amount of Settlement Class Counsel's fees or costs and expenses or incentive payments awarded by the Court from the Settlement Fund shall not be deemed a modification of all or a part of the terms of this Agreement or such final judgment.

43. In the event that this Agreement does not become final as set forth in Paragraph 20, or this Agreement otherwise is terminated pursuant to Paragraphs 40-42, then this Agreement shall be of no force or effect and any and all parts of the Settlement Fund in the Escrow Account (including interest earned thereon) shall be returned to Mitsubishi Electric within thirty (30) days, less only disbursements made, or the amount of obligations incurred in accordance with Paragraphs 25(d) and 27. Mitsubishi Electric and Direct Purchaser Plaintiffs expressly reserve all their respective claims, rights and defenses if this Agreement does not become final.

44. This Agreement shall be construed and interpreted to effectuate the intent of the parties, which is to provide, through this Agreement, for a complete resolution of the relevant claims with respect to each Releasee as provided in this Agreement in exchange for the payment of the Settlement Amount and Cooperation by Mitsubishi Electric.

H. Miscellaneous.

45. Mitsubishi Electric shall submit all materials required to be sent to appropriate Federal and State officials pursuant to the Class Action Fairness Act of 2005, 28 U.S.C. §1715.

46. Mitsubishi Electric, Direct Purchaser Plaintiffs, and Settlement Class Counsel agree not to disclose publicly or to any other person the terms of this Agreement until this Agreement is fully executed by all parties without the express written consent of the other party.

47. This Agreement does not settle or compromise any claim by Direct Purchaser Plaintiffs or any Settlement Class Member asserted in the Complaints or, if amended, any

subsequent complaint, against any Defendant or alleged co-conspirator other than Mitsubishi Electric and the other Releasees. All rights against such other Defendants or alleged co-conspirators are specifically reserved by Direct Purchaser Plaintiffs and the Settlement Class. All rights of any Settlement Class Member against any and all former, current, or future Defendants or co-conspirators or any other person other than Mitsubishi Electric and the other Releasees for sales made by Mitsubishi Electric and Mitsubishi Electric's alleged illegal conduct are specifically reserved by Direct Purchaser Plaintiffs and the Settlement Class Members. Mitsubishi Electric's sales to the class and its alleged illegal conduct shall, to the extent permitted or authorized by law, remain in the Action as a potential basis for damage claims and shall be part of any joint-and-several liability claims against other current or future Defendants in the Action or other persons or entities other than Mitsubishi Electric and the other Releasees.

48. The United States District Court for the Eastern District of Michigan shall retain jurisdiction over the implementation, enforcement, and performance of this Agreement, and shall have exclusive jurisdiction over any suit, action, proceeding, or dispute arising out of or relating to this Agreement or the applicability of this Agreement that cannot be resolved by negotiation and agreement by Direct Purchaser Plaintiffs and the Settlement Class and Mitsubishi Electric, including challenges to the reasonableness of any party's actions. This Agreement shall be governed by and interpreted according to the substantive laws of the state of Michigan without regard to its choice of law or conflict of laws principles. Mitsubishi Electric will not object to complying with any of the provisions outlined in this Agreement on the basis of jurisdiction.

49. This Agreement constitutes the entire, complete and integrated agreement among Direct Purchaser Plaintiffs and the Settlement Class and Mitsubishi Electric pertaining to the settlement of the Action against Mitsubishi Electric, and supersedes all prior and contemporaneous

undertakings, communications, representations, understandings, negotiations and discussions, either oral or written, between Direct Purchaser Plaintiffs and Mitsubishi Electric in connection herewith. This Agreement may not be modified or amended except in writing executed by Direct Purchaser Plaintiffs and Mitsubishi Electric, and approved by the Court.

50. This Agreement shall be binding upon, and inure to the benefit of, the successors and assigns of Direct Purchaser Plaintiffs and Mitsubishi Electric. Without limiting the generality of the foregoing, upon final approval of this Agreement each and every covenant and agreement made herein by Direct Purchaser Plaintiffs or Settlement Class Counsel shall be binding upon all Settlement Class Members and Releasers. The Releasees (other than the Mitsubishi Electric entities that are parties hereto) are third-party beneficiaries of this Agreement and are authorized to enforce its terms applicable to them.

51. This Agreement may be executed in counterparts by Direct Purchaser Plaintiffs and Mitsubishi Electric, and a facsimile signature shall be deemed an original signature for purposes of executing this Agreement.

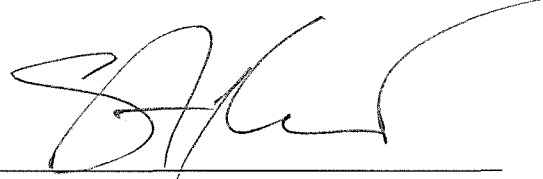
52. Neither Direct Purchaser Plaintiffs nor Mitsubishi Electric shall be considered to be the drafter of this Agreement or any of its provisions for the purpose of any statute, case law, or rule of interpretation or construction that would or might cause any provision to be construed against the drafter of this Agreement.

53. Where this Agreement requires either party to provide notice or any other communication or document to the other, such notice shall be in writing, and such notice, communication or document shall be provided by facsimile, or electronic mail (provided that the recipient acknowledges having received that email, with an automatic “read receipt” or similar notice constituting an acknowledgement of an email receipt for purposes of this Paragraph), or

letter by overnight delivery to the undersigned counsel of record for the party to whom notice is being provided.

54. Each of the undersigned attorneys represents that he or she is fully authorized to enter into the terms and conditions of, and to execute, this Agreement subject to Court approval.

Dated: March 9, 2018



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Steven A. Kanner  
William H. London  
Michael E. Moskovitz  
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Dated: March \_\_\_, 2018

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Dated: March \_\_\_, 2018

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Dated: March \_\_\_, 2018

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Eugene A. Spector  
William G. Caldes

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Dated: March 12, 2018



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Dated: March \_\_\_, 2018

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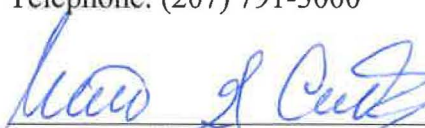
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Dated: March 12, 2018

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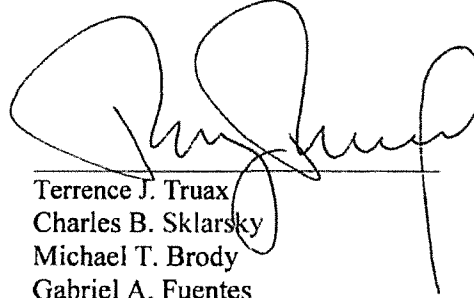
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*Interim Co-Lead Class Counsel and Settlement  
Class Counsel*

A handwritten signature in black ink, appearing to read "Terrence J. Truax", is written over a horizontal line. The signature is stylized with large loops and a long vertical tail.

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Dated: March 5, 2018